

LOA 2: Journal, DTC, Transfer and Ship, Certificate Deposit Request

A. Please Process the following request:		CONTACT #:
From Account #	Name/ Title:	

B. Instructions (Complete Section C if applicable)		
<input type="checkbox"/> Journal <input type="checkbox"/> ALL (entire account) <input type="checkbox"/> Cash \$ _____ <input type="checkbox"/> All cash & Money Market <input type="checkbox"/> Securities listed below in Section C . Attach additional client signed and dated sheets as needed.		
To A/C #	Name/ Title:	
<input type="checkbox"/> DTC - Deliver from the above brokerage account the securities listed below to the following firm:		
Firm Name:	A/C #	DTC #
A/C Name/Title:		
<input type="checkbox"/> Issue Physical Certificate - Transfer and Ship from the above brokerage account the securities listed below		
Name/Title:		
Address:		
City/State/Zip:	Social Security #	
<input type="checkbox"/> Certificate Deposit - Please deposit attached check/ securities to: (list securities in Section C)		
To A/C #	Name/Title:	

C. List of Securities		
Number of Shares	Security Description	Symbol/ Cusip Number

In connection with my account number listed above maintained at First Allied Securities, Inc., this letter shall serve as my instructions with respect to the above listed asset movement that I am authorizing, and a release and indemnification of First Allied in connection with the same. In connection with such transfers, past and future, that I have instructed, and in consideration of the completion of the transfer instructed herein, and other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, I hereby, for my successors in interest, heirs, executors, administrators and assigns, release and forever discharge First Allied Securities, Inc., FAS Holdings, Inc., their affiliates and shareholders and each of their respective officers, directors, "controlling persons", employees, registered representatives, independent contractors, heirs, executors, administrators, successors in interest and assigns, of and from any and all manners of action, causes of action, suits, debts, sums of money, accounts, covenants, contracts, controversies, specialties, variances, trespasses, executions, agreements, fees, promises, damages, judgments, clauses and demands whatsoever, in law or in equity, which I ever had, now have, or hereafter can, shall or may have for, upon or by reason of the issuance and delivery of any checks, and/or wire transfers of any nature whatsoever occurring in the above-referenced account. Below is my signature, which confirms my agreement to the foregoing waiver and release and serves as your authorization to complete the instructions set forth above.

D. Signature(s)		
Signature 1	Printed Name	Date
Signature 2	Printed Name	Date
Signature 3	Printed Name	Date

