

TRUSTEE CERTIFICATION OF INVESTMENT POWERS

I. ACCOUNT INFORMATION

INTRODUCING BROKER-DEALER (BROKER): _____

ACCOUNT NUMBER:

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In place of the full Trust Agreement, you may submit this Trustee Certification of Investment Powers (TCIP) form that has been filled out in its entirety. When completing the TCIP form, please answer all questions and print the names of all Trustees clearly in the section indicated on the first page. After answering all questions in their entirety, please have **ALL TRUSTEES** sign in the appropriate area on the last page of the document. In order for the TCIP to be a valid substitution for your Trust Agreement, this form **MUST** be completed in its entirety and must be signed by **ALL TRUSTEES**.

PLEASE BE ADVISED THAT WE WILL NOT BE ABLE TO OPEN YOUR ACCOUNT WITHOUT THE INFORMATION REQUESTED THROUGH THIS FORM.

II. TRUST INFORMATION

The title of the Trust to which this certification applies is: _____

EFFECTIVE DATE OF TRUST: _____ LATEST AMENDMENT OR RESTATEMENT DATE: _____

There are no other trustees of the Trust other than the undersigned.

Please check **ONE** of the following:

- The Trust Agreement explicitly authorizes each of the following Trustees to act individually without the approval of the other Trustees. You have the authority to accept orders and other instructions relative to the Trust account from any of these Trustees, and they may execute any documents on behalf of the Trust which you may require. Please indicate the paragraph or page of the Trust Agreement where this authority is granted:

NOTE: Although the Trust may allow a trustee to act individually, under certain circumstances, our policies may require that the written approval of all co-trustees be obtained.

- The Trust Agreement **DOES NOT** authorize the trustees to act individually on behalf of the Trust account.

TRUSTEE NAME: _____ (Please print)	TRUSTEE NAME: _____ (Please print)
TRUSTEE NAME: _____ (Please print)	TRUSTEE NAME: _____ (Please print)

III. THE POWERS OF THE TRUST

The undersigned Trustees certify that they have the power under the Trust Agreement to enter into transactions for the purchase and sale of securities and other investments, including without limitation, stocks (preferred or common), bonds, mutual funds, and certain certificates of deposit.

IN ADDITION TO THE FOREGOING POWERS, THE UNDERSIGNED TRUSTEES ARE SPECIFICALLY AUTHORIZED TO:

- Maintain margin accounts and through such accounts borrow money to purchase securities on margin and to pledge those securities and all others in the margin account as security to the loan. YES NO
If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____
- Sell securities that the trust does not own (short sales) and borrow securities to facilitate this practice. YES NO
If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____
- Engage in the purchase of call options. YES NO
If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____
- Engage in covered call writing. YES NO
If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____
- Engage in the purchase of put options. YES NO
If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____
- Purchase securities which are deemed to be speculative investments. YES NO
If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____



7. Establish and maintain an asset management account with a debit card, check writing, and margin privileges from which account funds are directly spent, the responsibility for which is entirely that of the Trustees. YES NO
 If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____
8. Receive on behalf of the Trust or deliver to the Trust or third parties monies, stocks, bonds, and other securities. To sell, assign, and endorse for transfer certificates representing stocks, bonds, and other securities now registered or hereafter registered in the name of the Trust. YES NO
 If yes, please indicate the paragraph or page of the Trust Agreement where this authority is granted: _____

ARE ANY TRUSTEES, THEIR BENEFICIARIES, MEMBERS OF THEIR FAMILIES, OR MEMBERS OF THEIR HOUSEHOLDS WHO RECEIVE MATERIAL SUPPORT FROM THE AFOREMENTIONED TRUST:

1. Affiliated or employed by a member of a stock exchange or the NASD®? YES NO
 If yes, please list the company, the person, and his or her position:

2. A senior officer of a bank? YES NO
 If yes, please list the company, the person, and his or her position:

3. An officer, director, general partner, employee, or agent of any broker-dealer? YES NO
 If yes, please list the company, the person, and his or her position:

4. A finder in respect to public offerings or a person acting in a fiduciary capacity to a managing underwriter, including among others, attorneys, accountants, and financial consultants, or a person receiving material support from any such person? YES NO
 If yes, please list the company, the person, and his or her position:

5. A senior officer of a savings and loan institution, insurance company, investment company, investment advisory firm, or any other institutional-type account (including but not limited to hedge funds, investment partnerships, investment corporations, or investment clubs), domestic or foreign, or a person receiving material support from any such person? YES NO
 If yes, please list the company, the person, and his or her position:

IV. TRUSTEE SIGNATURES (Please read and sign below)

The undersigned Trustees jointly and severally indemnify the introducing broker-dealer (Broker) and Pershing LLC, and agree to hold the Broker and Pershing LLC harmless from any liability (including attorneys' fees) arising out of or related to any actual improper or unsuitable actions resulting from instructions given to the Broker by us. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We agree to inform the Broker in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge the Broker's right to examine the Trust Agreement and hereby agree to provide the Broker with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

TRUSTEE NAME:	SIGNATURE:	DATE:
TRUSTEE NAME:	SIGNATURE:	DATE:
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TRUSTEE NAME:	SIGNATURE:	DATE:

FOR INTRODUCING BROKER-DEALER USE ONLY	
REVIEWED AND APPROVED BY:	
NAME: (Printed)	SIGNATURE: _____
TITLE: _____	DATE: _____